| SEC Form 4 |  |
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Instruction 1(b).

## FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

| OMB APPR             | OVAL      |
|----------------------|-----------|
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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

|                        | dress of Reporting | Person <sup>*</sup> | 2. Issuer Name <b>and</b> Ticker or Trading Symbol<br><u>PIXELWORKS, INC</u> [ PXLW ] |   | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) |                       |  |  |  |
|------------------------|--------------------|---------------------|---|---|---|-----------------------|--|--|--|
| <u>Tupman David J.</u> |                    |                     |   | X   | Director  | 10% Owner             |  |  |  |
|                        | 6 AIRPORT PARKWAY  |                     | 3. Date of Earliest Transaction (Month/Day/Year)<br>01/15/2021                        |   | Officer (give title below)  | Other (specify below) |  |  |  |
| SUITE 595              |                    |                     | 4. If Amendment, Date of Original Filed (Month/Day/Year)                              | 6. Individual or Joint/Group Filing (Check Applicable Line) |   |                       |  |  |  |
| (Street)<br>SAN JOSE   | CA                 | 95110               |   | X   | Form filed by One Re<br>Form filed by More th<br>Person                 |                       |  |  |  |
| (City)                 | (State)            | (Zip)               |   |   |   |                       |  |  |  |

### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction<br>Date<br>(Month/Day/Year) | if any | 3.<br>Transa<br>Code (<br>8) |   |        |               |       | 5. Amount of<br>Securities<br>Beneficially<br>Owned Following<br>Reported | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4) | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---------------------------------|--|--------|------------------------------|---|--------|---------------|-------|---|---|---|
|                                 |  |        | Code                         | v | Amount | (A) or<br>(D) | Price | Transaction(s)<br>(Instr. 3 and 4)  |   | (1130. 4)   |
| Common Stock                    | 01/15/2021                                 |        | Α                            |   | 4,115  | Α             | (1)   | 136,199   | D   |   |

|   | Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned   (e.g., puts, calls, warrants, options, convertible securities) |  |   |                              |   |           |     |                     |                    |   |  |   |  |  |  |
|---|--|--|---|------------------------------|---|-----------|-----|---------------------|--------------------|---|--|---|--|--|--|
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security  | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 4.<br>Transa<br>Code (<br>8) |   | 5. Number |     |                     |                    | 7. Title and<br>Amount of<br>Securities<br>Underlying<br>Derivative<br>Security (Instr.<br>3 and 4) |  | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 4) | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|   |  |  |   | Code                         | v | (A)       | (D) | Date<br>Exercisable | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares |   |  |  |  |

#### Explanation of Responses:

1. Each restricted stock unit represents a contingent right to receive one share of the registrant's common stock upon vesting. The restricted stock units vested 100% on the grant date.

## David Tupman

\*\* Signature of Reporting Person Date

01/15/2021

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.