FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

yton, D.C. 20549	OMB APPROVAL

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Check this box if no longer subject to
Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(h)

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Name and Address of Reporting Person* <u>Tupman David J.</u>										r or Tradi <mark>NC</mark> [ I					ationship all appli Directo	cable)	ng Per	son(s) to Iss 10% Ov	vner			
(Last) 224 AIR SUITE 4	(F PORT PAR		Date (		est Trai	nsac	ction (Mo	nth/E	Day/Year)			Officer below)	(give title		Other (s	specify						
-			- 4. 11	4. If Amendment, Date of Original Filed (Month/Day/Year)												6. Individual or Joint/Group Filing (Check Applicable Line)						
(Street)	SE C.	A	95110			X Form filed by One Reporting Person Form filed by More than One Reportin Person																
(City)	(S	tate)	(Zip)																			
		Tab	le I - Noi	n-Deriv	ative	e Se	curiti	es A	cqu	ıired, [	Disp	osed (	of, oı	r Be	neficia	lly	Owned	ł				
Date				2. Trans Date (Month/		ar)	2A. Deemed Execution Date, if any (Month/Day/Year)			3. Transac Code (Ir 8)		4. Securities Acquired (A) Disposed Of (D) (Instr. 3, 4 5)				4 and Second Ben Owr		mount of urities eficially ned Following		n: Direct or Indirect nstr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
										Code	v	Amount		(A) oı (D)	Or Price		Reported Transact (Instr. 3	tion(s)				
Common Stock <sup>(1)</sup> 05/11/3						2018				S		2,50	0	D	\$4.1		65,845		D			
		7	able II -	Deriva (e.g., p	tive S	Sec call	urities s, waı	s Acc	quir s, c	red, Di options	spo s, co	sed of	, or I	Ben secu	eficiall ırities)	y O	wned					
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year	3A. Deeme Execution if any (Month/Da	Date,	4. Transa Code ( 8)		of Deriv	r osed ) r. 3, 4	Ex	Date Exer piration D onth/Day/	ate		7. Title and Am of Securities Underlying Derivative Secu (Instr. 3 and 4)		es J Security	De Se	. Price of lerivative lecurity Instr. 5)	9. Number derivative Securities Beneficial Owned Following Reported Transactio (Instr. 4)	ly	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
					Code	de V	(A)	(D)	Dat	te ercisable		piration tte	Title		Amount or Number of Shares							
Non- Qualified Stock Option (right to	\$5.5									(2)	04	/02/2020	Comi		10,000			10,000	)	D		

## **Explanation of Responses:**

- 1. This transaction was made pursuant to a Rule 10b5-1 trading plan adopted by Dr. Tupman in February 2018. The Rule 10b5-1 trading plan provides for the sale on a pre-specified date of up to 2,500 of the shares that were released to Dr. Tupman on May 8, 2018 in connection with the annual grant awarded to him upon reelection to the Board of Directors on May 10, 2017.
- 2. 25% vest on the first anniversary of the date of grant, with the remaining 75% vesting ratably on a monthly basis thereafter over three years.

David Tupman

05/11/2018

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.